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|---------|--------------------|---|
| NEWS 1 | DEC 01 | Web Page for STN Seminar Schedule - N. America |
| NEWS 2 | APR 03 | ChemPort single article sales feature unavailable CAS coverage of exemplified prophetic substances enhanced |
| NEWS 4 | APR 07 | STN is raising the limits on saved answers |
| NEWS 5 | APR 24 | CAV Caplus now has more comprehensive patent assignment information |
| NEWS 6 | APR 26 | USPATFULL and USPAT2 enhanced with patent assignment/reassignment information |
| NEWS 7 | APR 28 | CAS patent authority coverage expanded |
| NEWS 8 | APR 28 | ENCOMPLI T/ENCOMPLI T2 search fields enhanced |
| NEWS 9 | APR 28 | Limits doubled for structure searching in CAS REGISTRY |
| NEWS 10 | MAY 08 | STN Express, Version 8.4, now available |
| NEWS 11 | MAY 11 | STN on the Web enhanced |
| NEWS 12 | MAY 11 | BEI LSTEIN substance information now available on STN Easy |
| NEWS 13 | MAY 14 | DGENE, PCTGEN and USGENE enhanced with increased limits for exact sequence match searches and introduction of free HIT display format |
| NEWS 14 | MAY 15 | INPADOCDB and INPACAFDB enhanced with Chinese legal status at user data |
| NEWS 15 | MAY 28 | CAS databases on STN enhanced with NANO super role in records back to 1992 |
| NEWS 16 | JUN 01 | CAS REGISTRY Source of Registration (SR) searching enhanced on STN |
| NEWS 17 | JUN 26 | NUTRACEUT and PHARMAL no longer updated |
| NEWS 18 | JUN 29 | IMSCOPROFILE now reloaded monthly |
| NEWS 19 | JUN 29 | EPFULL adds SLART to AB, MCLM and TI fields |
| NEWS | EXPRESS MAY 26, 09 | CURRENT VERSION: V8.4 |

NEWS HOURS STN Operating Hours Plus Help Desk Availability

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* * * * * STN Columbus * * * * *

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FILE 'REGISTRY' ENTERED AT 13:48:07 ON 07 JUL 2009
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DICTIONARY FILE UPDATES: 6 JUL 2009 HIGHEST RN 1160908-15-5

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=> s polyethylene(mine) OR poly(hylene(mine)) OR "poly(ethylene(mine))" OR "poly(hylene(mine))"
16 POLYETHYLENE(MINE)
64 POLYETHYLENEMINE
1977124 "POLY"
119 "ETHYLENE(MINE)"
19 "POLY(ETHYLENE(MINE))"
("POLY" (W "ETHYLENE(MINE)"))
10233 "POLYETHYLENE"
43546 "IMNE"
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("POLYETHYLENE" (W "IMNE"))
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 (PEI OR PEI S)
 L1 157 POLYETHYLENEI M NE OR POLYETHYLENEI M NE OR "POLY ETHYLENEI M NE"
 OR "POLYETHYLENE I M NE" OR "POLY ETHYLENE I M NE" OR PEI

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FILE 'CAPLUS' ENTERED AT 13:49:08 ON 07 JUL 2009
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FILE COVERS 1907 - 7 Jul 2009 VOL 151 ISS 2
 FILE LAST UPDATED: 6 Jul 2009 (20090706/ED)
 REVISED CLASS FILES (/NCL) LAST RELOADED: Apr 2009
 USPTO MANUAL OF CLASSIFICATION THESAURUS ISSUE DATE: Apr 2009

Caplus now includes complete International Patent Classification (IPC) reclassification data for the second quarter of 2009.

CAS Information Use Policies apply and are available at:

<http://www.cas.org/legal/information.html>

This file contains CAS Registry Numbers for easy and accurate substance identification.

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=> s L1
L2 30236 L1

=> s L2 AND uchegbu/AU
      0 UCHEGBU/AU
L3      0 L2 AND UCHEGBU/AU

=> s uchegbu
L4      5 UCHEGBU

=> d scan L4

L4 5 ANSWERS CAPLUS COPYRIGHT 2009 ACS on STN
CC 63-6 (Pharmaceuticals)
Section cross-reference(s): 38
TI A non-covalently crosslinked chitosan based hydrogel
ST crosslinked glycol chitosan hydrogel
IT Drug delivery systems
      (hydrogels; non-covalently crosslinked chitosan based hydrogel)
IT 57-10-3DP, Palmitic acid, reaction products with glycol chitosan
9012-76-4DP, Chitosan, crosslinked 123938-86-3DP, Glycol Chitosan,
```

crosslinked

RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use);
 BIOL (Biological study); PREP (Preparation); USES (Uses)
 (non-covalently crosslinked chitosan based hydrogel)

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1): 3

L4 5 ANSWERS CAPLUS COPYRIGHT 2009 ACS on STN
 TI I. F. ***Uchegbu***. Polymers in Drug Delivery, edited by, A.G. Schatzlein. CRC Press, Boca Raton, FL, USA (2006)

L4 5 ANSWERS CAPLUS COPYRIGHT 2009 ACS on STN
 TI Review of Synthetic Surfactant Vesiicles Edited by I. F. ***Uchegbu***, Harwood Academic Publishers, Amsterdam, 2000. 248 pp

L4 5 ANSWERS CAPLUS COPYRIGHT 2009 ACS on STN
 CC 1-6 (Pharmacology)
 TI The activity of doxorubicin nanosomes against an ovarian cancer cell line and three in vivo mouse tumor models

ST antitumor doxorubicin sorbitan monostearate nanosome
 IT Neoplasminhibitors
 (activity of doxorubicin nanosomes against an ovarian cancer cell line and three in vivo mouse tumor models)

IT Liposome
 (nanosome, activity of doxorubicin nanosomes against an ovarian cancer cell line and three in vivo mouse tumor models)

IT 1338-41-6, Sorbitan monostearate
 RL: BUU (Biological use, unclassified); BIOL (Biological study); USES (Uses)
 (activity of doxorubicin nanosomes against an ovarian cancer cell line and three in vivo mouse tumor models)

IT 23214-92-8, Doxorubicin
 RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
 (activity of doxorubicin nanosomes against an ovarian cancer cell line and three in vivo mouse tumor models)

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1): 0

=> s uchegbu/ au
 L5 0 UCHEGBU/ AU

=> d hist

(FILE 'HOME' ENTERED AT 13:47:50 ON 07 JUL 2009)

FILE 'REGISTRY' ENTERED AT 13:48:07 ON 07 JUL 2009
 L1 157 S POLYETHYLENIMINE OR POLYETHYLENIMINE OR "POLYETHYLENIMINE"
 FILE 'CAPLUS' ENTERED AT 13:49:08 ON 07 JUL 2009
 L2 30236 S L1
 L3 0 S L2 AND UCHEGBU/ AU
 L4 5 S UCHEGBU
 L5 0 S UCHEGBU/ AU

=> s L2 AND L4
 L6 0 L2 AND L4

=> s L2 AND "drug delivery"
 874898 "DRUG"
 384122 "DRUGS"
 1056821 "DRUG"
 ("DRUG" OR "DRUGS")

335121 "DELI VERY"
 2054 "DELI VERI ES"
 336359 "DELI VERY"
 ("DELI VERY" OR "DELI VERI ES")
 237011 "DRUG DELI VERY"
 ("DRUG" (W "DELI VERY"))
 L7 1184 L2 AND "DRUG DELI VERY"

 => s L7 AND "qcpei"
 0 "QCPEI"
 L8 0 L7 AND "QCPEI"

 => s L7 AND "qcpei 1"
 0 "QCPEI 1"
 L9 0 L7 AND "QCPEI 1"

 => s L7 AND qcpei
 => s L7 AND qcpei
 => s (cyclosporin OR "Cyclosporin")
 18374 CYCLOCSPORIN
 404 CYCLOCSPORIN
 18415 CYCLOCSPORIN
 ("CYCLOCSPORIN" OR CYCLOCSPORIN)
 18374 "CYCLOCSPORIN"
 404 "CYCLOCSPORIN"
 18415 "CYCLOCSPORIN"
 ("CYCLOCSPORIN" OR "CYCLOCSPORIN")
 L10 18415 (CYCLOCSPORIN OR "CYCLOCSPORIN")

 => s L7 AND L10
 L11 16 L7 AND L10

=> d L11 1- i b i b abs
 YOU HAVE REQUESTED DATA FROM 16 ANSWERS - CONTINUE? Y/ (N) : y

L11 ANSWER 1 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2009:182624 CAPLUS <<LCGNI ID: 20090707>>
 DOCUMENT NUMBER: 150: 290644
 TITLE: Sustained-release microcapsule of protein polypeptide drug and its preparation method
 INVENTOR(S): Dai, Zhi fei; Yue, Xi uli; Zheng, Jian; Liu, Shaoqin; Wang, Yang; Yan, Xi ueng
 PATENT ASSIGNEE(S): Harbin Institute of Technology, Peop. Rep. China
 SOURCE: Fari ning Zhuanli Shenqing Gongkai Shuomingshu, 21pp.
 DOCUMENT_TYPE: Patent
 LANGUAGE: Chinese
 FAMILY ACC. NUM. COUNT: 1
 PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|---|----------|------------------|----------|
| CN 101361963 | A | 20090211 | CN 2008-10137122 | 20080916 |
| PRICRITY APPLN. INFO.: | | | | |
| AB | The prepn. method comprises (1) dissolving protein polypeptide drug in 0.001-100 mmol/L HCl at a ratio of (0.01-100) mg: 1 ml, adjusting the pH to 1-7, adding inorg. salt till its concn. is 0.01-10 mol/L, stirring at a speed of 10-600 r/min for 0.1-100 min, ultrasonic processing for 0.01-100 min, centrifugating or filtering to obtain particles of protein polypeptide drug; (2) dissolving polyanion in 0.01-10 mol/L inorg. salt, adjusting the pH to 1-7, adding particles of protein polypeptide drug, stirring, carrying out adsorption reaction for 0.1-100 min, ultrasonic processing for 0.01-100 min, centrifugating or filtering, washing solid | | | |

phase; (3) adding treated particles of protein polypeptide drug into polyvalent metal cation (0.1-100 mg/mL, pH 1-7), stirring, carrying out adsorption reaction for 0.1-100 min, ultrasonic processing for 0.01-100 min, centrifugating or filtering, washing; (4) repeating step (3) once; and (5) dissolving polycation in 0.01-10 mol/L inorg. salt, adjusting the pH to 1-7, adding particles of protein polypeptide drug from step (4), stirring, carrying out adsorption reaction for 0.1-100 min, ultrasonic processing for 0.01-100 min, centrifugating or filtering, and washing to obtain the product. The protein polypeptide drug is insulin, interferon, heparin, calcitonin, growth hormone, etc. The polyanion is sodium alginate, glucose, dextran sulfate, heparin, etc. The inorg. salt is NaCl, NH4Cl, (NH4)2SO4, KCl, etc. The polyvalent metal cation is Zn2+, Cu2+, Fe3+, Ru3+, Cs3+, etc. The polycation is chitosan, protamine, polyarginine, polythiomyline, etc. The microcapsule provided in this invention has improved stability, biol. activity and sustained release characteristic, and can supply trace elements for human body.

L11 ANSWER 2 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2009:24490 CAPLUS <<LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 150:142453
 TITLE: MHC multimers and conjugates for use in diagnosis, prognosis and therapy of cancer, infection, immune and autoimmune disease
 INVENTOR(S): Brix, Lise Lotte; Pedersen, Henrik; Jakobsen, Tina; Schoelcher, Joergen; Lohse, Jesper; Brunstedt, Katja; Jacobsen, Kvin
 PATENT ASSIGNEE(S): DAKO Denmark A/S, Den.
 SOURCE: PCT Int. Appl., 470pp.
 CODEN: PI XXD2
 DOCUMENT TYPE: Patent
 LANGUAGE: English
 FAMILY ACC. NUM. COUNT: 3
 PATENT INFORMATION:

| PATENT NO. | CLAIM NO. | DATE | APPLICATION NO. | DATE |
|---|-----------------|------------|-----------------|----------|
| WO 2009003492 | A1 | 20090108 | WO 2008-DK50167 | 20080703 |
| W AE, AG, AL, CA, CH, CN, FI, GB, GE, HK, KG, KM, KN, ME, MG, MK, PL, PT, RO, TM, TN, TR, AT, BE, BG, CH, CY, CZ, DE, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, M, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, TZ, UG, ZM, ZW | DK 2007-972 | A 20070703 | | |
| AM, AZ, BY, KG, KZ, MD, RU, TJ, TM | DK 2007-973 | A 20070703 | | |
| | DK 2007-974 | A 20070703 | | |
| | DK 2007-975 | A 20070703 | | |
| | US 2007-929581P | P 20070703 | | |
| | US 2007-929582P | P 20070703 | | |
| | US 2007-929583P | P 20070703 | | |
| | US 2007-929586P | P 20070703 | | |

PRIORITY APPLN. INFO.:

| | |
|----|--|
| AB | The present invention describes novel methods to generate MHC or HLA multimers and methods to improve existing and new MHC multimers. The invention also describes improved methods for the use of MHC multimers in analysis of T-cells in samples including diagnostic and prognostic methods. Furthermore the use of MHC multimers in therapy are described, e.g. anti-tumor and anti-virus therapy, including isolation of antigen specific |
|----|--|

T-cell is capable of inactivation or elimination of undesirable target cells or isolation of specific T-cells capable of regulation of other immune cells.

REFERENCE COUNT: 7 THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L11 ANSWER 3 OF 16 CAPLUS COPYRIGH 2009 ACS on STN
 ACCESSION NUMBER: 2008:1508205 CAPLUS <<LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 150: 56994
 TITLE: Poly(organophosphazene) hydrogels for ***drug***
 delivery, preparation method thereof and use thereof

INVENTOR(S): Song, Soo-Chang; Park, M-Ran; Lee, Sun-M
 PATENT ASSIGNEE(S): Korea Institute of Science and Technology, S. Korea
 SOURCE: PCT Int. Appl., 88pp.

DOCUMENT TYPE: Patent
 LANGUAGE: English
 FAMILY ACC. NUM. COUNT: 1
 PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---|------|----------|-----------------|----------|
| WO 2008153277 | A1 | 20081218 | WO 2008-KP2715 | 20080523 |
| W AE, AG, AL, CA, CH, CN, GB, GD, FI, KG, KM, KN, MG, MK, MN, PT, RO, RS, TR, TT, TZ, UA, UG, US, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MF, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, OM, GA, GN, GQ, GW, M, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MV, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM | | | | |
| KR 2008110472 | A | 20081218 | KR 2008-40413 | 20080430 |
| US 20090047348 | A1 | 20090219 | US 2008-122665 | 20080517 |
| PRIORITY APPLN. INFO.: | | | | |
| KR 2007-58461 A 20070614 | | | | |
| KR 2008-40413 A 20080430 | | | | |
| WO 2008-KP2715 A 20080523 | | | | |

AB A biodegradable and thermosensitive poly(organophosphazene) with a functional group, a prepn. method thereof, and a use thereof for delivery of biactive substances are provided.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L11 ANSWER 4 OF 16 CAPLUS COPYRIGH 2009 ACS on STN
 ACCESSION NUMBER: 2008:1339197 CAPLUS <<LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 149: 534721
 TITLE: Poly(ultramic acid functionalized by cation groups and hydrophobic groups, and their therapeutic applications
 INVENTOR(S): Chan, You Ping; Breyne, Olivier; Bonnet, Gonne; Cecile
 PATENT ASSIGNEE(S): Fiamel Technologies, Fr.
 SOURCE: Fr. Demande, 43pp.

DOCUMENT TYPE: Patent
 LANGUAGE: French
 FAMILY ACC. NUM. COUNT: 2
 PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------|------|------|-----------------|------|
| | | | | |

| | | | | |
|--|----|--|-----------------|------------|
| FR 2915748 | A1 | 20081107 | FR 2007-3185 | 20070503 |
| WO 2008135563 | A1 | 20081113 | WO 2008-EP55507 | 20080505 |
| W AE, AG, AL, AM, AQ, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MK, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM | | | | |
| RW AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW AM, AZ, BY, KG, KZ, MD, RU, TJ, TM | | | | |
| US 20090102028 | A1 | 20090108 | US 2008-149542 | 20080505 |
| PRI ORI TY APPLN. INFO.: | | | FR 2007-3185 | A 20070503 |
| | | | US 2007-924218P | P 20070503 |
| AB Pol ygl ut amates for use in ***drug*** ***del i very*** are manuf d. by forming cation groups which, if they are de protonable s, present a pKa equal to or higher than 7, and by hydrophobic groupings comprising from 8 to 30 carbon atoms. These pol ygl ut amates mod ified by cation groups are ready to be transformed easily and economically into particles of vectorization of active principles, these particles being themselves clean to form stable aq. colloidal suspensions. These pol ygl ut amates mod ified has the advantage of being less viscous than other similar polymers, while preserving a capacity to assoc. proteins such as insulin. Some are water-sol. with acid pH and become insol. with physiol. pH (7,4) and woud d thus have, at the time of a s.c. injection, to ppt. on the site of injection. A typical polymer was manuf d. by stirring 6 g pol ygl ut amic acid grafted with 5% al pha- <i>t</i> -copherol 15 min at 0. degree. in 125 mL DMF contg. 8.7 mL iso-Bu chloroformate, adding suspension of 24.67 g argininamide dihydrochloride in 308 mL NMP contg. 14.7 mL Et3N at 0. degree., stirring 2 h at 0. degree., adding 2.1 mL 35% aq. HCl, and adding the resulting reaction mxt. to 1.6 L water. | | | | |
| REFERENCE COUNT: | 3 | THERE ARE 3 CITED REFERENCES AVAI LABLE FOR THIS RECORD. ALL CITED REFERENCES AVAI LABLE IN THE RE FORMAT | | |

L11 ANSWER 5 OF 16 CAPLUS COPYRIGH T 2009 ACS on STN
 ACCESSI ON NUMBER: 2008:1156621 CAPLUS <<LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 149: 409737
 TITL E: Topical formulations comprising lipophilic bi active
 agents having enhanced bioavailability
 McCook, John Patrick; Narain, Niven Rajin; Persaud,
 Indushekh ar
 I NVENTOR(S) :
 PATENT ASSI GNEE(S) : Pat hfi dner Management, Inc., USA
 SOURCE: PCT Int. Appl., 68pp.
 CODEN: PI XXD2
 DOCUMENT TYPE: Pat ent
 LANGUAGE: English
 FAM LY ACC. NUM COUNT: 1
 PATENT INFORMATI ON:

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|---|-------|----------|-------------------|----------|
| WO 2008116135 | A2 | 20080925 | WO 2008-US57786 | 20080321 |
| WO 2008116135 | A3 | 20081224 | | |
| W AE, AG, AL, AM, AQ, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MK, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM | | | | |
| TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW | | | | |

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 RW AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU,
 IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK,
 TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, M, MR, NE, SN, TD,
 TG, BW, GH, GM, KE, LS, MV, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW
 AM, AZ, BY, KG, KZ, MD, RU, TU, TM, AP, EA, EP, OA

US 20080233183 A1 20080925 US 2008-52825 20080321
 PRIORITY APPLN. INFO.: US 2007-91954P P 20070322
 AB The present disclosure provides the compounds, suitable for delivering lipophilic bioactive agents. The compounds may be utilized to treat numerous diseases and conditions that would benefit from the application of a lipophilic bioactive agent. Thus, a cream containing Polysorbate 80 25.00%, ibuprofen 21.00%, propylene glycol 10.00%, phenoxyethanol 0.50%, water 35.500, and lecithin 8.000%.

L11 ANSWER 6 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 20081067724 CAPLUS <>LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 149: 315743
 TITLE: Coated expandable system comprising a catheter balloon and a crimped stent for the controlled release of drugs
 INVENTOR(S): Crlowski, Michael
 PATENT ASSIGNEE(S): Germany
 SOURCE: Ger. Offen., 17pp.
 DOCUMENT TYPE: Patent
 LANGUAGE: German
 FAMILY ACC. NUM. COUNT: 2
 PATENT INFORMATION:

| PATENT NO. | Kind | DATE | APPLICATION NO. | DATE |
|--|---|----------|----------------------|----------|
| DE 102007008479 | A1 | 20080904 | DE 2007-102007008479 | 20070221 |
| WO 200810486 | A2 | 20080828 | WO 2008-DE301 | 20080220 |
| W AE, AG, AL, CA, CH, CN, GB, GD, GE, GM, GT, KM, KN, KP, KR, KZ, LA, MG, MK, MN, MW, MY, PT, RO, RS, RU, SC, SD, TR, TT, TZ, UA, UG, US, ZA, ZM, ZW | AM, AQ, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CU, CZ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GE, GH, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, LA, LC, LR, LS, LT, LU, LY, MA, MD, ME, NG, NI, NO, NZ, OM, PG, PH, PL, SG, SK, SL, SM, SV, SY, TJ, TM, TN, | | | |
| RW AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, M, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MV, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW | AM, AZ, BY, KG, KZ, MD, RU, TU, TM | | | |

PRIORITY APPLN. INFO.: DE 2007-102007008479A 20070221
 US 2007-903298P P 20070226
 AB The invention relates to an expandable system comprising a catheter balloon and a crimped stent. Said system combines fast-release kinetics of one active substance and slow-release kinetics of a second active substance since the catheter balloon is coated with a first active substance that is suitable for fast release while the stent is coated with a second active substance which is suitable for slow release. In a preferred embodiment, the catheter balloon is coated with a cytotoxic agent, of a first active substance while the stent is coated with a cytostatic agent, of a second active substance.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L11 ANSWER 7 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2008:1045421 CAPLUS <>LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 149: 315698
 TITLE: Coated expandable system comprising a catheter balloon

and a crimped stent for the controlled release of
drugs

INVENTOR(S): Orłowski, Michael
PATENT ASSIGNEE(S): Eurocor G.m.b.H., Germany
SOURCE: PCT Int. Appl., 29pp.

DOCUMENT TYPE: Patent
LANGUAGE: German
FAMILY ACC. NUM. COUNT: 2
PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---|--|--|-----------------------|----------|
| WO 2008101486 | A2 | 20080828 | WO 2008- DE301 | 20080220 |
| W AE, AG, AL, CA, CH, CN, GB, GD, GE, GH, GM, GT, KR, KZ, LA, MG, MK, MN, PT, RO, RS, TR, TT, TZ, RW AT, BE, BG, IE, IS, IT, TR, BF, BJ, TG, BW, GH, AM, AZ, BY, KZ, MD, RU, TJ, TM | AM, AT, AU, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, FG, HN, HR, HU, ID, IL, LS, LT, LU, LY, NG, NI, NO, NZ, OM, PG, PH, PL, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, UG, UG, UG, UZ, VC, VN, ZA, ZM, ZW | BA, BB, BG, BH, BR, BW, BY, BZ, EG, ES, FI, EG, ES, FI, JP, KE, KG, MA, MD, ME, PG, PH, PL, ZW | | |
| DE 102007008479 | A1 | 20080904 | DE 2007- 102007008479 | 20070221 |

PRIORITY APPLN. INFO.: DE 2007-102007008479A 20070221
US 2007- 903298P P 20070226

AB The invention relates to an expandable system comprising a catheter balloon and a crimped stent. Said system combines fast release kinetics of one active substance and slow release kinetics of a second active substance since the catheter balloon is coated with a first active substance that is suitable for fast release while the stent is coated with a second active substance which is suitable for slow release. In a preferred embodiment, the catheter balloon is coated with a cytotoxic agent of a first active substance while the stent is coated with a cytostatic agent of a second active substance.

L11 ANSWER 8 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
ACCESSION NUMBER: 2007:937423 CAPLUS <<LOG IN ID: 20090707>>

DOCUMENT NUMBER: 147: 269264
TITLE: Cholesterol esterification pathway modulators and anti-proliferative and anti-protein misfolding agents for the prophylactic and/or therapeutic treatment of proliferative and conformational diseases

INVENTOR(S): La Colla, Paolo; Anchisi, Carlo; Dassi, Sandra; Pani, Alessandra
SOURCE: Italy

DOCUMENT TYPE: PCT Int. Appl., 48pp.
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 2
PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--|--|--|-----------------|----------|
| WO 2007094026 | A1 | 20070823 | WO 2007- I T109 | 20070219 |
| W AE, AG, AL, CA, CH, CN, CO, CR, GD, GE, GH, GM, GT, HN, HR, ID, IL, IS, JP, KE, KG, KM, KR, KZ, LA, MG, MK, RU, TJ, TZ | AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, EG, ES, FI, GB, GD, EG, ES, FI, JP, KE, KG, KM, KR, LA, MG, MK, RU, TJ, TZ | BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, EG, ES, FI, GB, GD, EG, ES, FI, JP, KE, KG, KM, KR, LA, MG, MK, RU, TJ, TZ | | |

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|---------------------------------|------------------------------------|--|--|--|------------------------------------|------------------------------------|--------------------------------|----------------------------|------------------------|------------------------|------------------------|----------------|----------------|------------|--------|
| MN, RS, TZ, AT, I S, CF, GM, KG | MW, RU, UG, BE, LT, CG, KE, LS, MD | MX, CS, US, VC, CH, LV, CM, GA, MA, MW, MD | MY, SE, SK, VN, CY, MC, QM, GA, MA, ZM, RM, SD | MZ, SL, ZA, CZ, NL, QM, GW, MZ, SD, SL | NA, SM, ZM, DE, NL, PL, GW, MZ, SZ | NG, ZM, SM, ZW, DE, PL, GW, MZ, TZ | NI, SM, ZW, EE, PT, GW, MZ, TZ | NO, ZW, ES, PT, GW, MZ, TZ | NZ, SY, RO, RO, RO, TZ | OM, SY, SE, RO, NE, UG | PG, TJ, TM, RO, NE, UG | PH, TN, TR, ZW | PL, TN, TR, AM | PT, TT, ZW | RO, BY |
|---------------------------------|------------------------------------|--|--|--|------------------------------------|------------------------------------|--------------------------------|----------------------------|------------------------|------------------------|------------------------|----------------|----------------|------------|--------|

LT 2006FM0286

11-2006

MD200

A1 20060829

IS 20

006-BMP86

1P P

20060529

AB The invention discloses the use of compds. modulating the pathways leading to cholesterol esterification for the prepn. of a medicament for the treatment and/or prevention of proliferative and/or conformational diseases or of early aging. The medicament further comprises a compd. endowed with anti proliferative and/or anti protein misfolding activity.

L11 ANSWER 9 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2007:816913 CAPLUS <>LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 147: 220046
 TITLE: Biodegradable and thermosensitive
 poly(organophosphazene) hydrogel, preparation method
 thereof and use thereof
 INVENTOR(S): Song, Soo-Chang; Lee, Sun-M; Kim, Chang-Won; Park,
 M-Pan
 PATENT ASSIGNEE(S): Korea Institute of Science and Technology, S. Korea
 SOURCE: PCT Int. Appl., 87pp.
 CODEN: PI XXD2
 DOCUMENT TYPE: Patent
 LANGUAGE: English
 FAMILY ACC. NUM. COUNT: 1
 PATENT INFORMATION:

G

/ Structure 1 in file .gra /

The present invention relates to a biodegradable and thermosensitive poly(organophosphazene) with a functional group, a prep. method thereof, and a use thereof for delivery of bioactive substances. According to the present invention, poly(organophosphazene) is a phosphagen-based polymer showing biodegradability, thermosensitivity, and sol-gel phase transition depending on temp. change, whereby when administered into a living body with bioactive substances such as drugs, the poly(organophosphazene) forms a gel-phase at body temp. to be capable of controlled release of the bioactive substances. Further, the poly(organophosphazene) has functional groups to chem bind with bioactive substances through an ionic bond, covalent bond, or coordinate covalent bond to be capable of a sustained release of the bioactive substances due to its good binding property. The poly(organophosphazene) is represented as in Formula 1, wherein p is an integer between 7 and 50; R1 is selected from the group consisting of H, CH2, CH3, CH2SH, CH(C3H7)2, CH2CH(C3H7)2, CH(C3H7)2CH5, CH2CH2SC3H3, CH2C6H5, CH2C6H4CH, CH2C2H2C6H4, CCOOC4N+H9, CCO2C2H5, CH2C2C2H2s, (CH2)2C2C2H5, and HCONHC(H)C2H6Hs, and R2 is selected from the group consisting of CH3, C3H7, C4H8, C2H5, CH2C6H5, and CH2CH2CH2; R3 is CHW; R4 is selected from the group consisting of C2O2, C2C2H2C2O2, C2C2H(C3H7)C2O2, and CONHC(H)C2O2; R5 is selected from the group consisting of H, CH3, and C2H5, and W and X are independently selected from the group consisting of H, HCH2, CH3, CH(C3H7)2, CH2CH(C3H7)2, CH(C3H7)2CH5, CH2CH2SC3H3, CH2C6H5, CH2C2H2C6H4, CCOOC4N+H9, CCO2C2H5, (CH2)2C2C2H5, CH2CH, CH(C3H7)OH, CH2C6H4OH, CH2COOH, CH2CH2COOH, CH2C2NH2, C4H8NH2, C3H6NH2(=NH)NH2, CH2C3N2H3, and CH2SH; R6 is CH(Y); R7 is selected from the group consisting of C2H4, C3H6, C4H8, CH2C6H4, CH2C2O2, O, CONHC(H)Z, O2, O2S, CONHC(H)Z, S, N, CONHC(H)Z, N, CON, COCONHC(H)Z, CON, CONHC(H)Z, O2, and CONHC(H)Z, O2; R8 is selected from the group consisting of OH, SH, H, CH3, C2H5, C3H7, C4H8, CH2C6H5, CH2CH2CH2, and protecting groups. Also, Y and Z are independently selected from the group consisting of H, HCH2, CH3, CH(C3H7)2, CH2CH(C3H7)2, CH(C3H7)2CH5, CH2CH2SC3H3, CH2C6H5, CH2C2H2C6H4, CCOOC4N+H9, CCO2C2H5, (CH2)2C2C2H5, CH2CH, CH(C3H7)OH, CH2C6H4OH, CH2COOH, CH2CH2COOH, CH2C2NH2, C4H8NH2, C3H6NH2(=NH)NH2, CH2C3N2H3, and CH2SH; R9 is selected from the group consisting of CH, SH, H, NH2, CH3, C2H5, C3H7, C4H8, CH2C6H5, CH2CH2CH2, NHCO(H)Z, NH2, NH2CH2OH, NH(C2H2NH)2, NH(C4H8NH2)2, NHCO(H)Z, NH2, NH2CH2OH, and protamines; q is an integer between 1 and 20; r is an integer between 1 and 18000; a1, a2, b, c, d, and e represent the content of each substituent, wherein a1, a2, b, c, d, and e are independently from 0 to 1.9, and a1 + a2 + b + c + d + e = 2.0; and n is from 5 to 100000. Therefore, the poly(organophosphazene) is useful as a delivery material for bioactive substances.

111 ANSWER 10 OF 16 CAPIUS COPYRIGHT 2009 ACS on STN

2006: 818283 CAPLUS <<LOG IN ID: 20090707>>

RECEIVED, G.V. NUMBER:
DOCUMENT NUMBER:

BOOKTITLE:

INVENTOR(S):

PATENT ASSIGNEE(S):

SOURCE:

DOCUMENT TYPE:

LANGUAGE:

2006: 818283 CALPLUS <<LOGI N D: 20090707>>
145: 218038
Colonic delivery of agents that inactivate antibiotics
Fattal, Elieas; Andremont, Antoine; Couvreur, Patrick;
Bourgeois, Sandrine
Da Volterra, Fr.; Centre National De La Recherche
Scientifique; Stevens, Ian Edward
PCT Int. Appl., 63pp.
CODEN: PI XXD2
Patent
English

FAM LY ACC. NUM COUNT: 1
PATENT INFORMATION:

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|--|---|----------|-------------------|----------|
| WO 2006085075 | A2 | 20060817 | WO 2006-GB448 | 20060209 |
| WO 2006085075 | A3 | 20070830 | | |
| W AE, AG, AL, CN, CO, CR, GE, GH, GM, KZ, LC, LZ, NA, NG, SG, SK, SL, VN, YU, ZA, ZM, ZW | AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, HR, HU, I D, I L, I N, I S, JP, KE, KG, KM, KN, KP, KR, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, | | | |
| RW AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, I E, I S, I T, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MV, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW | AM, EA, EP, OA | | | |
| KG, KZ, MD, RU, TJ, TM | | | | |
| AU 2006211996 | A1 | 20060817 | AU 2006-211996 | 20060209 |
| CA 2595526 | A1 | 20060817 | CA 2006-2595526 | 20060209 |
| EP 1845948 | A2 | 20071024 | EP 2006-709686 | 20060209 |
| R AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, I E, I S, I T, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL, BA, HR, MK, YU | | | | |
| JP 2008529996 | T | 20080807 | JP 2007-553714 | 20060209 |
| IN 2007KN03118 | A | 20071228 | IN 2007-KN3118 | 20070823 |
| CN 101128187 | A | 20080220 | CN 2006-80005835 | 20070823 |
| US 20080317666 | A1 | 20081225 | US 2008-628832 | 20080303 |

PRI ORI TY APPLN. I NFO.:

AB ***Drug*** ***delivery*** devices that are orally administered, and that release active ingredients in the colon, are disclosed. The active ingredients are those that inactivate antibiotics, such as macrolides, quinolones and beta-lactam contg. antibiotics. One example of a suitable active agent is an enzyme such as beta-lactamases. In another embodiment, the active agents are those that specifically treat colonic disorders, such as Crohn's Disease, irritable bowel syndrome, ulcerative colitis, colorectal cancer or constipation. The "drug" ***delivery*** devices are in the form of beads of pectin, crosslinked with calcium and reticulated with polyethyleneimine. The high crosslink d. of the poly ethyleneimine is believed to stabilize the pectin beads for a sufficient amt. of time such that a substantial amt. of the active ingredients can be administered directly to the colon. Advantageously, the amt. of poly ethyleneimine is sufficient to allow a substantial portion of the pectin beads to pass through the gastrointestinal tract to the colon without releasing the active agent, and is also sufficient such that the pectin beads are sufficiently degraded in the colon to release an effective amt. of the active agent.

L11 ANSWER 11 OF 16 CAPLUS COPYRIGH T 2009 ACS on STN
ACCESSION NUMBER: 2005:961492 CAPLUS <LOG IN ID: 20090707>
DOCUMENT NUMBER: 143:254076
TITLE: Drug eluting coatings for medical implants and methods of use
INVENTOR(S): Hsu, Li - Chi en
PATENT ASSIGNEE(S): Biotegra, Inc., USA
SOURCE: U.S. Pat. Appl. Publ., 9 pp., Cont.-in-part of U.S. Ser. No. 423,718.
CODEN: USX00
DOCUMENT TYPE: Patent
LANGUAGE: English
FAM LY ACC. NUM COUNT: 2

PATENT INFORMATION:

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|--------------------------|--|----------|-------------------|-------------|
| US 20050191333 | A1 | 20050901 | US 2005- 119075 | 20050428 |
| US 20040037886 | A1 | 20040226 | US 2003- 423718 | 20030426 |
| US 7438925 | B2 | 20081021 | | |
| PRI ORI TY APPLN. INFO.: | | | US 2002- 405933P | P 20020826 |
| | | | US 2003- 423718 | A2 20030426 |
| AB | A drug coating for a medical device comprises one or more drug composite layers. The drug composite layer comprises one or more therapeutic agents dispersed within one or more modified bi active binders. The modified bi active binders are hydrophobic compds. bonded to bi active binders, and the modified bi active binders are not inert polymers. | | | |

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| L11 ANSWER 12 OF 16 | CAPLUS | COPYRIGHT 2009 ACS on STN |
| ACCESSI ON NUMBER: | 2005: 904325 | CAPLUS <<LOGI NI D: 20090707>> |
| DOCUMENT NUMBER: | 143: 241967 | |
| TI TLE: | Direct ed apoptosis in cox-2 overexpressing cancer cells through target ed gene delivery of apoptosis-inducing genes for tumor therapy | |
| I INVENTOR(S): | Godfrey, W Terrance; Atala, Anthony | |
| PATENT ASSI GNEE(S): | Children's Medical Center Corporation, USA | |
| SOURCE: | U.S. Pat. Appl. Publ., 32 pp. | |
| DOCUMENT TYPE: | CODEN: USXXCO | |
| LANGUAGE: | Patent | |
| FAM LY ACC. NUM COUNT: | English | |
| PATENT INFORMATI ON: | 1 | |

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|--------------------------|---|----------|-------------------|------------|
| US 20050187177 | A1 | 20050825 | US 2004- 23020 | 20041223 |
| PRI ORI TY APPLN. INFO.: | | | US 2004- 533965P | P 20040102 |
| AB | The present invention provides methods and constructs for selectively expressing an Apoptosis-inducing Gene (AI G) in a population of tumor cells that over express cyclooxygenase-2 (COX-2) to induce apoptosis in the cell. To achieve this goal a chimeric gene construct is used that comprises a cyclooxygenase-2 promoter (COX-2 promoter) that is operably linked to at least one AI G such that the COX-2 promoter is activated in cells that over express COX-2, thereby resulting in transcription and translation of the AI G, which in turn activates apoptosis in the cell. Thus, apoptosis is selectively induced in only those cells capable of overexpressing COX-2. The apoptosis-inducing gene is selected from the group consisting of Caspase-1, Caspase-2, Caspase-3, Caspase-4, Caspase-5, Caspase-6, Caspase-7, Caspase-8, Caspase-9, Caspase-10, Granzyme A, Granzyme B, Fas ligand, TRAIL and APC8L. | | | |

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| L11 ANSWER 13 OF 16 | CAPLUS | COPYRIGHT 2009 ACS on STN |
| ACCESSI ON NUMBER: | 2004: 267381 | CAPLUS <<LOGI NI D: 20090707>> |
| DOCUMENT NUMBER: | 140: 309343 | |
| TI TLE: | Oral ***drug*** ***delivery*** systems for poorly soluble drugs using amphiphilic polyethyl enimine polymers with solubilizing and absorption enhancing properties | |
| I INVENTOR(S): | Uchegbu, Ijeoma; Schatzlein, Andreas; Cheng, Wei Ping | |
| PATENT ASSI GNEE(S): | The University of Strathclyde, UK; The University Court of the University of Glasgow | |
| SOURCE: | PCT Int. Appl., 40 pp. | |
| DOCUMENT TYPE: | CODEN: PI XXD2 | |
| LANGUAGE: | Patent | |
| FAM LY ACC. NUM COUNT: | English | |
| | 1 | |

PATENT INFORMATION

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|--|-------|---|-------------------|------------|
| WO 2004026941 | A1 | 20040401 | WO 2003-GB4036 | 20030922 |
| W AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW | | | | |
| RW GH, GM, KE, LS, MN, MZ, SD, SL, SZ, TZ, UG, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, I.T, LU, MC, NL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, GM, GA, GN, QQ, GW, ML, MR, NE, SN, TD, TG | | | | |
| CA 2499681 | A1 | 20040401 | CA 2003-2499681 | 20030922 |
| AU 2003267581 | A1 | 20040408 | AU 2003-267581 | 20030922 |
| EP 1543063 | A1 | 20050622 | EP 2003-748273 | 20030922 |
| EP 1543063 | B1 | 20090325 | | |
| R AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, I.E, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK | | | | |
| JP 2006500437 | T | 20060105 | JP 2004-537295 | 20030922 |
| AT 426635 | T | 20090415 | AT 2003-748273 | 20030922 |
| US 20060148882 | A1 | 20060706 | US 2005-528602 | 20050929 |
| | | | GB 2002-21942 | A 20020920 |
| | | | WO 2003-GB4036 | W 20030922 |
| PRI ORITY APPLN. INFO. : | | | | |
| AB This invention relates to the delivery of drugs. In particular, this invention relates to the oral delivery of poorly sol. drugs using novel amphiphilic polymers with both soluble and absorption enhancing properties. A poly(ethyl enyl methacrylate) polymer according to the present invention wherein monomeric subunits in accordance with the structure is defined in formula (N) [NH-CH2-CH2(R1) m N(Z) 2CH2-CH2] n [N(CH2-CH2N(R1) 2CH2] p [N(CH2-CH2N(R1) 2CH2] q [N(CH2-CH2N(R1) 2CH2] r [N(CH2-CH2N(R1) 2CH2] s [N(CH2-CH2N(R1) 2CH2] t [N(CH2-CH2N(R1) 2CH2] u [N(CH2-CH2N(R1) 2CH2] v [N(CH2-CH2N(R1) 2CH2] w [N(CH2-CH2N(R1) 2CH2] x [N(CH2-CH2N(R1) 2CH2] y [N(Z) 2CH2-CH2] z] where n=0-90%, m=0-100%, p=0-50%, q=0-50%, u=0-50%, v=0-50%, w=0-20%, x=0-20%, y=0-20%, z=0-20% where n, m+n+p+q+u+v+w+x+y+z=100% Z=alkyl, alkenyl, alkynyl, etc; A=alkyl, alkenyl, alkynyl, etc; R1=alkyl, alkenyl, alkynyl, etc; R2=alkyl, alkenyl, alkynyl, etc. | | | | |
| REFERENCE COUNT: | 5 | THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT | | |

L11 ANSWER 14 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
ACCESSION NUMBER: 2003:532709 CAPLUS <<LOG IN ID: 20090707>>
DOCUMENT NUMBER: 139:101420
TITLE: Dendritic poly(amine acid) carrier conjugates with
pharmaceutic al s
INVENTOR(S): Li, Chun; Vega, Javier; Welch, Sidney; Tansey,
Wayne; Charsangavej, Chusilip
PATENT ASSIGNEE(S): Board of Regents, the University of Texas System USA
SOURCE: PCT Int. Appl., 105 pp.
CODEN: PI XXD2
DOCUMENT TYPE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1

| PATENT NO. | KI ND | DATE | APPLI CATI ON NO. | DATE |
|---|-------------------------------------|----------|-------------------|-------------|
| WO 2003055935 | A1 | 20030710 | WO 2002- US40937 | 20021223 |
| W AE, AG, AL, AM | AT, AU, AZ, BA, BB, BG, BR, BY, BZ, | | | CA, CH, CN, |
| CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, | | | | GD, GE, GH, |
| GM, HR, HU, ID, IL, I.N.S., JP, KE, KG, KP, KR, KZ, | | | | LK, LR, |

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| LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, | PL, PT, RO, RU, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, |
| UG, UZ, VN, YU, ZA, ZM, ZW | |
| RW GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, | |
| KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, | |
| FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR, BF, BJ, | |
| CF, CG, CI, OM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG | |
| US 20030232968 A1 20031218 US 2002-327455 20021220 | |
| US 7261875 B2 20070828 | |
| CA 2469946 A1 20030710 CA 2002-2469946 20021223 | |
| AU 2002361821 A1 20030715 AU 2002-361821 20021223 | |
| EP 1465938 A1 20041013 EP 2002-797454 20021223 | |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, I, E, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, SK | |
| PRI CRI TY APPLN: INFO: US 2001-342807P P 20011221 | |
| | US 2002-327455 A 20021220 |
| | WO 2002-US04937 W 20021223 |

AB The invention concerns a design for dendritic poly(amine acid) polymer carriers, also known as nonlinear polymers, and their applications. These dendritic poly(amine acid) carriers have multiple functional groups at the polymer surface and heterofunctional groups on the poly(amine acid) side chains for drug or diagnostic agent attachment. They are designed to allow sufficient preservation of the binding affinity of the targeting ligand while conjugating therapeutic or diagnostic agents to the polymers. The invention also describes methods of prodn. of the polymer carriers and methods for the treatment or diagnosis of diseases employing the polymer carriers. In an example, branched polyglutamyl acids (PGs) PAMAM-PG [PAMAM is poly(amine acid) dendrimer] were prep. and conjugated to paclitaxel (TXL). PAMAM-PG-TXL and linear PG-TXL showed cytotoxicity IC₅₀ = 20 nM in a human vulvar squamous A431 cell line (< 1.0 for the parent drug), suggesting that both conjugates behave as prodrugs.

REFERENCE COUNT: 7 THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

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|-------------------------|--|--------------------------------|
| L11 ANSWER 15 OF 16 | CAPLUS | COPYRIGHT 2009 ACS ON STN |
| ACCESSION NUMBER: | 2002:716321 | CAPLUS <<LOG IN ID: 20090707>> |
| DOCUMENT NUMBER: | 137:246527 | |
| TI TLE: | Multivalent MHC constructs: Immunoanalys, diagnosis and therapy | |
| INVENTOR(S): | Wntcher, Lars; Petersen, Lars Oestergaard; Buus, Søren; Schoeller, Joergen; Ruub, Erik; Aamelle, Oystein | |
| PATENT ASSIGNEE(S): | Dako A/S, Den.; Dynal Biotech Asa | |
| SOURCE: | PCT Intl. Appl., 304 pp. | |
| DOCUMENT TYPE: | CODEN: PI XHD2 | |
| LANGUAGE: | Patent | |
| FAMILY ACC. NUM. COUNT: | English | |
| PATENT INFORMATION: | 1 | |

| PATENT NO. | KI ND | DATE | APPLI CATION NO. | DATE |
|---|-------|----------|------------------|----------|
| WO 2002072631 | A2 | 20020919 | WO 2002-DK169 | 20020313 |
| WO 2002072631 | A3 | 20031106 | | |
| W AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, | | | | |
| CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, | | | | |
| GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, | | | | |
| LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, | | | | |
| PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, | | | | |
| UA, UG, US, UZ, VN, YU, ZA, ZM, ZW | | | | |
| RW GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, | | | | |
| KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, DE, DK, ES, FI, FR, GB, | | | | |
| GR, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, | | | | |

10_528602.trn

| GN | GQ | GW | ML | MR | NE | SN | TD | TG |
|--|----|----------|----|-------------|-----------------|--------------|----|----------|
| CA 2440773 | | | A1 | 20020919 | CA | 2002-2440773 | | 20020313 |
| AU 2002240818 | | | A1 | 20020924 | AU | 2002-240818 | | 20020313 |
| AU 2002240818 | | | B2 | 20080619 | | | | |
| EP 1377609 | | | A2 | 20040107 | EP | 2002-706685 | | 20020313 |
| R AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR | | | | | | | | |
| JP 2005500257 | T | 20050106 | JP | 2002-571544 | | | | 20020313 |
| NO 200304020 | A | 20031106 | NO | 2003-4020 | | | | 20030911 |
| AU 2008202862 | A1 | 20080724 | AU | 2008-202862 | | | | 20080630 |
| PRI ORI TY APPLN. INFO. : | | | | | DK 2001-435 | | A | 20010314 |
| | | | | | DK 2001-436 | | A | 20010314 |
| | | | | | DK 2001-441 | | A | 20010314 |
| | | | | | US 2001-275447P | | P | 20010314 |
| | | | | | US 2001-275448P | | P | 20010314 |
| | | | | | US 2001-275470P | | P | 20010314 |
| | | | | | AU 2002-240818 | | A3 | 20020313 |
| | | | | | WO 2002-DK169 | | W | 20020313 |

AB The authors disclose MHC mol. constructs (classical and non-classical) conjugated to sol. or insol. carriers wherein the affinity and avidity of the constructs exceed that of comparable MHC trimers. In one example, the construct is comprised of biotinylated HLA-A2 bound to FITC-labeled streptavidin conjugated to sol. derivatized dextran. The above construct loaded with MART-1 or influenza virus peptides was shown to effect T-cell activation at a lower concn. than. Also comprised by the present invention is the sample-mounted use of MHC mol., MHC mol. multimers, and MHC mol. constructs.

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L11 ANSWER 16 OF 16 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2000:209864 CAPLUS <<LOG IN D: 20090707>>
 DOCUMENT NUMBER: 132;255982
 TITLE: Method and system for enhancing delivery of peptides and proteins across the intestinal wall
 INVENTOR(S): Brayden, David James; Gross, Joseph
 PATENT ASSIGNEE(S): Elan Corp., PLC, Ire.
 SOURCE: PCT Int. Appl., 73 pp.
 CODEN: PI XXD2
 DOCUMENT TYPE: Patent
 LANGUAGE: English
 FAMILY ACC. NUM. COUNT: 1
 PATENT INFORMATION:

| PATENT NO. | KN | ND | DATE | APPLICATI ON NO. | DATE |
|---------------------------------------|--|----------|--|------------------|--|
| WO 2000016741 | A1 | 20000330 | WO 1999-I E97 | | 19990917 |
| W AE, AL, AM | AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, LZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM | | | | |
| FW GH, GM, KE, DK, ES, FI, CG, CI, CM | LS, MV, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, PT, SE, BF, BJ, CF, NE, SN, TD, TG | | | | |
| AU 9957572 | A | 20000410 | AU 1999-57572 IE 1998-780 US 1998-100892P WO 1999-I E97 | | 19990917 A 19980921 P 19980923 W 19990917 |
| PRI ORI TY APPLN. INFO. : | | | | | |

AB A system and method for enhancing the delivery of an agent, esp. peptides and proteins, across the intestinal wall of a mammal are disclosed. The system includes a device for applying a potential across the intestinal wall so as to enhance delivery of the agent. The device includes a pair

of electrodes and a power source. An agent may be located proximate to the intestinal wall sep. from the device or incorporated in the device. Electric current is generated thereby enhancing delivery of the agent across the intestinal wall. The agent and the electrode may be incorporated into a swellable polymer. A schematic sectional side view of an orally administrable ***drug*** ***delivery*** device according to the invention is depicted. Use of iontophoresis to increase the transport of mannitol across rat colonic tissue *in vitro* is described.

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

=>
=> d hi st

(FILE ' HOME' ENTERED AT 13:47:50 ON 07 JUL 2009)

L1 FILE 'REGISTRY ENTERED AT 13:48:07 ON 07 JUL 2009
157 S POLYETHYLENEI M NE OR POLYETHYLENI M NE OR "POLY ETHYLENEI M NE"

FILE 'CAPLUS' ENTERED AT 13:49:08 ON 07 JUL 2009

| | | | |
|-----|-------|---|-----------------------|
| L2 | 30236 | S | L1 |
| L3 | 0 | S | L2 AND UCHEGBU/ AU |
| L4 | 5 | S | UCHEGBU |
| L5 | 0 | S | UCHEGBU/ AU |
| L6 | 0 | S | L2 AND L4 |
| L7 | 1184 | S | L2 AND " DRUG DELI |
| L8 | 0 | S | L7 AND " CCOPEI " |
| L9 | 0 | S | L7 AND " CCOPEI 1" |
| L10 | 18415 | S | ECYCLOSPORI N+ALL/ C |
| L11 | 13 | S | (CYCLOSPORI N OR " C |
| | | S | ECYCLOSPORI N+ALL/ C |

=> s qua ter nar y (p) ammoni um (p) (pol yet hyl enei mi ne OR pol yet hyl eni mi ne OR "pol y et hyl enei mi ne" OR "pol yet hyl ene i mi ne" OR "pol y et hyl ene i mi ne" OR pei)

148114 QUATERNARY
360 QUATERNARIES
148268 QUATERNARY
(QUATERNARY OR QUATERNARIES)

452031 AMMONI UM
452 AMMONI UMS
452189 AMMONI UM
(AMMONI UM OR AMMONI UMS)

4745 POLYETHYLENEI M NE
230 POLYETHYLENEI M NES
4838 POLYETHYLENEI M NE
(POLYETHYLENEI M NE OR POLYETHYLENEI M NES)
7865 POLYETHYLEN M NE
428 POLYETHYLENI M NES
7942 POLYETHYLENI M NE
(POLYETHYLENI M NE OR POLYETHYLENI M NES)

777822 "POLY" OR "POLY" M NE
 2 "POLY" ES
 777823 "POLY" ("POLY" OR "POLY" ES)
 2066 "ETHYLENEI M NE"
 107 "ETHYLENEI M NES"
 2138 "ETHYLENEI M NE"
 ("ETHYLENEI M NE" OR "ETHYLENEI M NES")
 783 "POLY ETHYLENEI M NE"
 ("POLY" ("W" "ETHYLENEI M NE"))
 408572 "POLYETHYLENE"
 15554 "POLYETHYLENES"

413378 "POLYETHYLENE"
 ("POLYETHYLENE" OR "POLYETHYLENES")
 24646 "I M NE"
 17920 "I M NES"
 34897 "I M NE"
 ("I M NE" OR "I M NES")
 497 "POLYETHYLENE I M NE"
 ("POLYETHYLENE" (W "I M NE"))
 777822 "POLY"
 2 "POLI ES"
 777823 "POLY"
 ("POLY" OR "POLI ES")
 601275 "ETHYLENE"
 3495 "ETHYLENES"
 602813 "ETHYLENE"
 ("ETHYLENE" OR "ETHYLENES")
 24646 "I M NE"
 17920 "I M NES"
 34897 "I M NE"
 ("I M NE" OR "I M NES")
 386 "POLY ETHYLENE I M NE"
 ("POLY" (W "ETHYLENE" (W "I M NE"))
 5650 PEI
 223 PEI S
 5722 PEI
 (PEI OR PEI S)
 L12 214 QUATERNARY (P) AMMONIUM (P) (POLYETHYLENEI M NE OR POLYETHYLENEI M NE OR "POLY ETHYLENEI M NE" OR "POLYETHYLENE I M NE" OR "POLY ETHYLENE I M NE" OR PEI)

=> s L7 AND L12
 L13 3 L7 AND L12

=> d L13 1-
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L13 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2009 ACS on STN
 AN 2006:1108241 CAPLUS <<LOGONID:20090707>>
 DN 145:495524
 TI Encapsulation of epigallocatechin gallate with polymers for stability
 in rpm overment
 IN Kim, Chul, Hwan; Lee, Sung, Mahn
 PA Doi Solutions, Inc., S. Korea
 SO Repub. Korean Kongkae Taeho Kongbo, No pp. given
 CODEN: KFXXA7
 DT Patent
 LA Korean
 FAN CNT 1

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--------------------|------|----------|-----------------|----------|
| PI KR 2006028916 | A | 20060404 | KR 2004-77823 | 20040930 |
| PRAI KR 2004-77823 | | | | |

L13 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2009 ACS on STN
 AN 2006:378166 CAPLUS <<LOGONID:20090707>>
 DN 144:495174
 TI Antibacterial activity of dental composites containing ***quaternary***
 ammonium ***polyethyl ene*** nanoparticles against
 St reptococcus mutans
 AU Beyth, Nurit; Yudovin-Barber, Ira; Bahir, Ran; Dornb, Abraham J.; Weiss, Elvin I.
 CS Department of Prosthodontics, Faculty of Dentistry, Hebrew University of
 Jerusalem Jerusalem Israel

SO Biomaterials (2006), 27(21), 3995-4002

CODEN: BI MADU; ISSN: 0142-9612

PB Elsevier Ltd.

DT Journal

LA English

RE.CNT 28 THERE ARE 28 CITED REFERENCES AVAILABLE FOR THIS RECORD
ALL CITATIONS AVAILABLE IN THE REFORMAT

L13 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2009 ACS on STN

AN 2005:218239 CAPLUS <>LOG IN ID: 20090707>>

DN 143:253612

TI Studies on adsorption properties of chemically modified chitosan resins to diuretics

AU Chen, Fei; Luo, Guangsheng; Wang, Yujun

CS State Key Lab of Chemical Engineering, Department of Chemical Engineering
Tsinghua University, Beijing, 100084, People's Rep. China

SO Gaofenzi Xuebao (2005), (1), 53-59

CODEN: GAXUE9; ISSN: 1000-3304

PB Kexue Chubanshe

DT Journal

LA Chinese

=> squaternary (p) (polyethyleneimine OR polyethyleneimine OR "polyethyleneimine" OR "polyethyleneimine" OR "polyethyleneimine" OR pei)

148114 QUATERNARY

360 QUATERNARIES

148268 QUATERNARY

(QUATERNARY OR QUATERNARIES)

4745 POLYETHYLENEIMINE

230 POLYETHYLENEIMINES

4838 POLYETHYLENEIMINE

(POLYETHYLENEIMINE OR POLYETHYLENEIMINES)

7865 POLYETHYLENEIMINE

428 POLYETHYLENEIMINES

7942 POLYETHYLENEIMINE

(POLYETHYLENEIMINE OR POLYETHYLENEIMINES)

777822 "POLY"

2 "POLIES"

777823 "POLY"

("POLY" OR "POLIES")

2066 "ETHYLENEIMINE"

107 "ETHYLENEIMINES"

2138 "ETHYLENEIMINE"

("ETHYLENEIMINE" OR "ETHYLENEIMINES")

783 "POLYETHYLENEIMINE"

("POLY" (W "ETHYLENEIMINE"))

408572 "POLYETHYLENE"

15554 "POLYETHYLENES"

413378 "POLYETHYLENE"

("POLYETHYLENE" OR "POLYETHYLENES")

24646 "IMNE"

17920 "IMNES"

34897 "IMNE"

("IMNE" OR "IMNES")

497 "POLYETHYLENEIMNE"

("POLYETHYLENE" (W "IMNE"))

777822 "POLY"

2 "POLIES"

777823 "POLY"

("POLY" OR "POLIES")

601275 "ETHYLENE"

3495 "ETHYLENES"

602813 "ETHYLENE"
 ("ETHYLENE" OR "ETHYLENES")
 24646 "I M NE"
 17920 "I M NES"
 34897 "I M NE"
 ("I M NE" OR "I M NES")
 386 "POLY ETHYLENE I M NE"
 ("POLY" (W "ETHYLENE" (W "I M NE"))
 5650 PEI
 223 PEI S
 5722 PEI
 (PEI OR PEI S)
 L14 293 QUATERNARY (P) (POLYETHYLENE I M NE OR POLYETHYLENI M NE OR "POLY ETHYLENEI M NE" OR "POLYETHYLENE I M NE" OR "POLY ETHYLENE I M NE" OR PEI)

=> s L7 AND L14
 L15 4 L7 AND L14

=> d hist

(FILE 'HOME' ENTERED AT 13:47:50 ON 07 JUL 2009)

FILE 'REGISTRY' ENTERED AT 13:48:07 ON 07 JUL 2009
 L1 157 S POLYETHYLENEI M NE OR POLYETHYLENI M NE OR "POLY ETHYLENEI M NE"
 FILE 'CAPLUS' ENTERED AT 13:49:08 ON 07 JUL 2009
 L2 30236 S L1
 L3 S L2 AND UCHEGBU/ AU
 L4 S UCHEGBU
 L5 S UCHEGBU/ AU
 L6 0 S L2 AND L4
 L7 1184 S L2 AND "DRUG DELI VERY"
 L8 0 S L7 AND "COPPEI"
 L9 0 S L7 AND "COPPEI 1"
 E CYCLOSPORI NHALL/ CT
 L10 18415 S (CYCLOSPORI N OR "CYCLOSPORI N")
 L11 16 S L7 AND L10
 L12 214 S QUATERNARY (P) AMMONI UM (P) (POLYETHYLENEI M NE OR POLYETHYLENI M NE)
 L13 3 S L7 AND L12
 L14 293 S QUATERNARY (P) (POLYETHYLENEI M NE OR POLYETHYLENI M NE OR "POLYETHYLENEI M NE")
 L15 4 S L7 AND L14

=> s L15 NOT L13
 L16 1 L15 NOT L13

=> d L16 i b i b abs

L16 ANSWER 1 OF 1 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2005:3745 CAPLUS <<LOG IN ID: 20090707>>
 DOCUMENT NUMBER: 142: 266552
 TITLE: Cationic lipids with increased DNA binding affinity
 for nonviral gene transfer in dividing and nondividing
 cells
 AUTHOR(S): Narang, Ajit S.; Thoma, Laura; Miller, Duane D.;
 Mahato, Ram I.
 CORPORATE SOURCE: Departments of Pharmaceutical Sciences and Biomedical
 Engineering, University of Tennessee Health Science
 Center, Memphis, TN, 38163, USA
 SOURCE: Bioconjugate Chemistry (2005), 16(1), 156-168
 CODEN: BOCHEC; ISSN: 1043-1802
 PUBLISHER: American Chemical Society
 DOCUMENT TYPE: Journal

LANGUAGE:

English

OTHER SOURCE(S):

CASREACT 142: 266552

AB Effect of headgroup structure on cationic lipid-mediated transfection was investigated with either a (i) tertiary amine, (ii) quaternary amine with a hydroxyl, or (iii) quaternary amine with mesylate as headgroups. Liposomes were formulated using cholesterol or dioleoyl phosphatidyl ethanolamine (DOPE) as colipids, and transfection efficiencies were determined in rapidly dividing colon carcinoma (CT 26) and rat aortic smooth muscle (RASM) cells as well as in nondividing human pancreatic islets using luciferase and green fluorescent protein expression plasmids, pcDNA3-Luc and pCMV-EGFP, resp. Liposome/pDNA complexes were evaluated for DNA conformational state by CD, DNA condensation by electrophoretic mobility shift assay (EMSA), particle size and zeta potential by laser diffraction technique, and surface morphology by transmission electron microscopy (TEM). Encouraging transfection results were obtained with the mesylate headgroup based lipid-liposome formulations with DOPE as a colipid, which were higher than the commercially available Lipofectamine formulation. We hypothesize that the additional hydrogen bonding or covalent interactions of the headgroup with the plasmid DNA, leading to higher binding affinity of the cationic lipids to pDNA, results in higher transfection. This hypothesis is supported by TEM observations where elongated complexes were observed, and more lipid was seen associated with the DNA.

REFERENCE COUNT: 59 THERE ARE 59 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

=> s quater (p) (polyethyleneimine OR polyethyleneimine OR "poly ethyl ene imine" OR "poly ethylene imine" OR "poly ethyl ene im ne" OR pei)

(P) IS NOT A RECOGNIZED COMMAND

The previous command name entered was not recognized by the system.

For a list of commands available to you in the current file, enter "HELP COMMANDS" at an arrow prompt (>).

=> s quatern (p) (polyethyleneimine OR polyethyleneimine OR "poly ethyl ene imine" OR "poly ethylene imine" OR pei)

(P) IS NOT A RECOGNIZED COMMAND

The previous command name entered was not recognized by the system.

For a list of commands available to you in the current file, enter "HELP COMMANDS" at an arrow prompt (>).

=> s quatern (p) (polyethyleneimine OR polyethyleneimine OR "poly ethyl ene imine" OR "poly ethylene imine" OR pei)

(P) IS NOT A RECOGNIZED COMMAND

The previous command name entered was not recognized by the system.

For a list of commands available to you in the current file, enter "HELP COMMANDS" at an arrow prompt (>).

=> s quatern

=> s quatern?

L17 162367 QUATERN?

=> s quatern? (p) (polyethyleneimine OR polyethyleneimine OR "poly ethyl ene imine" OR "poly ethylene imine" OR pei)

162367 QUATERN?

4745 POLYETHYLENEIMINE

230 POLYETHYLENEIMINES

4838 POLYETHYLENEIMINE

(POLYETHYLENEIMINE OR POLYETHYLENEIMINES)

7865 POLYETHYLENIMINE

428 POLYETHYLENIMINES

7942 POLYETHYLENIMINE

(POLYETHYLENIMINE OR POLYETHYLENIMINES)

777822 "POLY"

| | |
|--------|---|
| 2 | " POLI ES" |
| 777823 | " POLY" |
| | (" POLY" OR " POLI ES") |
| 2066 | " ETHYLENEI M NE" |
| 107 | " ETHYLENEI M NES" |
| 2138 | " ETHYLENEI M NE" |
| | (" ETHYLENEI M NE" OR " ETHYLENEI M NES") |
| 783 | " POLY ETHYLENEI M NE" |
| | (" POLY" (W " ETHYLENEI M NE") |
| 408572 | " POLYETHYLENE" |
| 15554 | " POLYETHYLENES" |
| 413378 | " POLYETHYLENE" |
| | (" POLYETHYLENE" OR " POLYETHYLENES") |
| 24646 | " I M NE" |
| 17920 | " I M NES" |
| 34897 | " I M NE" |
| | (" I M NE" OR " I M NES") |
| 497 | " POLYETHYLENE I M NE" |
| | (" POLYETHYLENE" (W " I M NE") |
| 777822 | " POLY" |
| 2 | " POLI ES" |
| 777823 | " POLY" |
| | (" POLY" OR " POLI ES") |
| 601275 | " ETHYLENE" |
| 3495 | " ETHYLENES" |
| 602813 | " ETHYLENE" |
| | (" ETHYLENE" OR " ETHYLENES") |
| 24646 | " I M NE" |
| 17920 | " I M NES" |
| 34897 | " I M NE" |
| | (" I M NE" OR " I M NES") |
| 386 | " POLY ETHYLENE I M NE" |
| | (" POLY" (W " ETHYLENE" (W " I M NE") |
| 5650 | PEI |
| 223 | PEI S |
| 5722 | PEI |
| | (PEI OR PEI S) |
| 458 | QUATERN? (P) (POLYETHYLENEI M NE OR POLYETHYLENEI M NE OR " POLY ETHYLENEI M NE" OR " POLYETHYLENE I M NE" OR " POLY ETHYLENE I M NE" OR PEI) |

⇒ d hist

(FILE ' HOME' ENTERED AT 13:47:50 ON 07 JUL 2009)

FILE 'REGISTRY' ENTERED AT 13:48:07 ON 07 JUL 2009
157 S POLYETHYLENE IMINE OR POLYETHYLENE IMINE OR "POLY ETHYLENE IMINE"

FILE 'CAPLUS' ENTERED AT 13:49:08 ON 07 JUL 2009

L2 30236 S L1

L3 0 S L2 AND UCHEGBU' AU

L4 5 S UCHEGBU

L5 0 S UCHEGBU/ AU

L6 0 S L2 AND L4

L7 1184 S L2 AND "DRUG D
L8 2 S L7 AND "CORRU"

OSL AND "OCPEI" OSLO AND "OCPEI 1"

U S L Y AND - QUETIN - E CYCLOSPORIN N: ALL / C

110 18415 S (CYCLOSPORIN OB " CYCLOSPORIN ")

L11 16 S L7 AND L10

L12 214 S QUATERNARY (P) AMMONIUM (P) (POLYETHYLENIMINE OR POLYETHYLEN

L13 3 S L7 AND L12

L14 293 S QUATERNARY

Page 23

L15 4 S L7 AND L14
 L16 1 S L15 NOT L13
 L17 162367 S QUATERN?
 L18 458 S QUATERN? (P) (POLYETHYLENEI M NE OR POLYETHYLENI M NE OR "POLY

=> s L7 AND L18
 L19 9 L7 AND L18

=> L19 NOT (L15)

L19 IS NOT A RECOGNIZED COMMAND

The previous command name entered was not recognized by the system
 For a list of commands available to you in the current file, enter
 "HELP COMMANDS" at an arrow prompt (>).

=> s L19 NOT (L15)
 L20 5 L19 NOT (L15)

=> d L20 1- 1 b l b abs

YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/ (N): y

L20 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2007:410600 CAPLUS <<LOGID: 20090707>>
 DOCUMENT NUMBER: 146:415130
 TITLE: Met hods and ion-bi nding core-shell particle compositions for selectively removing potassium ion from the gastrointestinal tract of a mammal
 INVENTOR(S): Cope, Michael J.; Mansky, Paul; Liu, Futi an; Chang, Han-Ting; Charrott, Domique; Connor, Eric; Bi yani, Kal pesh; Liu, Mengj un; Meng, Tony Kwok-Kong; Chen, Yan Illypsa, Inc., USA
 PATENT ASSIGNEE(S): PCT Int. Appl., 173pp.
 SOURCE: CODEN: PI XWD2
 DOCUMENT TYPE: Patent
 LANGUAGE: English
 FAMILY ACC. NUM. COUNT: 1
 PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--|---|--|--|--|
| WD 2007041569 | A1 | 20070412 | WD 2006-US38602 | 20061002 |
| W AE, AG, AL, CN, CO, CR, GE, GH, GM, KR, KZ, LA, MW, MY, RU, SC, SD, UA, US, AT, BE, BG, IS, IT, LT, CF, CG, CI, GM, KE, LS, KG, KZ, MD, RU, TJ, TM | AM, AT, AU, AZ, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, I.D, I.L, IN, I.S, JP, KE, KG, LT, LS, LU, LV, LY, MA, MD, MG, MK, MN, SE, SG, SK, SL, SM, SV, SY, TJ, TM, ZA, ZM, ZW | BA, BB, BG, BR, BW, BY, BZ, CA, CH, FI, GB, GD, KP, KR, MN, PT, RO, RS, TT, TZ, TR, TR, BF, BJ, SK, TG, TW, GH, AM, AZ, BY | CA, CH, FI, GB, GR, HU, IE, TR, BF, BJ, SK, TG, TW, GH, AM, AZ, BY | CA, CH, FI, GB, GR, HU, IE, TR, BF, BJ, SK, TG, TW, GH, AM, AZ, BY |
| RW | AT, BE, BG, IS, IT, LT, CF, CG, CI, GM, KE, LS, KG, KZ, MD, RU, TJ, TM | CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TD, TG, ZW | FR, GB, GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TD, TG, ZW | FR, GB, GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TD, TG, ZW |
| AU 2006299449 | A1 | 20070412 | AU 2006-299449 | 20061002 |
| CA 2624170 | A1 | 20070412 | CA 2006-2624170 | 20061002 |
| EP 1928476 | A1 | 20080611 | EP 2006-816101 | 20061002 |
| R AT, BE, BG, IS, IT, LI | CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR | GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TR | GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TR | GR, HU, IE, NL, PL, PT, RO, SE, SI, SK, TR |
| GB 2446077 | A | 20080730 | GB 2008-6896 | 20061002 |
| DE 112006002618 | T5 | 20080828 | DE 2006-112006002618 | 20061002 |
| JP 2009510126 | T | 20090312 | JP 2008-533776 | 20061002 |
| MK 2008004158 | A | 20080519 | MK 2008-4158 | 20080327 |
| IN 2008DN02620 | A | 20080704 | IN 2008-DN2620 | 20080328 |
| KR 2008059265 | A | 20080626 | KR 2008-710227 | 20080428 |

10 528602.trn
 CN 101316601 A 20081203 CN 2006-80044248 20080527
 US 20090155370 A1 20090618 US 2008-88625 20080930
 PRIORITY APPLN. INFO.: US 2005-723073P P 20050930
 WD 2006-US38602 W 20061002
 AB The invention provides methods and compns. for the treatment of ion imbalances using core-shell composites and compns. comprising such core-shell composites. In particular, the invention provides core-shell particles and compns. comprising potassium binding polymers, and core-shell particles and compns. comprising sodium binding polymers, and in each case, pharmaceutical compns. thereof. Methods of use of the polymeric and pharmaceutical compns. for therapeutic and/or prophylactic benefits are also disclosed. The compns. and methods of the invention offer improved approaches for treatment of hyperkalemia and other indications related to potassium homeostasis, and for treatment of hypertension and other indications related to sodium homeostasis.
 REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L20 ANSWER 2 OF 5 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2007:209801 CAPLUS <<LOGI NI D: 20090707>>
 DOCUMENT NUMBER: 146: 428059
 TITLE: Copolymers of .epsilon.-caprolactone and quaternized .epsilon.-caprolactone as gene carriers
 Vrana, Benoit; Meza, Michael; Fernandez, Manuel A.R.; Jerome, Robert; Preat, Veronique
 CORPORATE SOURCE: Unite de Pharmacie Galenique, Universite Catholique de Louvain, Brussels, 1200, Belg.
 SOURCE: Journal of Controlled Release (2007), 118(1), 136-144
 PUBLISHER: Elsevier B.V.
 DOCUMENT TYPE: Journal
 LANGUAGE: English
 AB New copolymers of .epsilon.-caprolactone (CL) and .gamma.-bromo-.epsilon.-caprolactone ***quaternized*** by pyridine (Py + CL) were investigated as non-viral vectors for gene delivery. Copolymers with two molar compns. (50 Py + CL/50 CL and 80 Py + CL/20 CL), each with a diblock or a random structure, were used to prep. nanoparticulate complexes with DNA. Av. size and surface charge of the complexes and extent of the complexation were measured. The DNA condensation by the copolymers was analyzed by a gel retardation assay. Cytotoxicity and transfection efficiency of the copolymers were also evaluated in HeLa cells and compared with ***polyethylamine*** 50 kDa. The size of the polyplexes was approx. 200 nm. The zeta potential first increased with the copolymer/DNA charge ratio and became pos. for charge ratios in the 2-4 range depending on the type of copolymer. DNA was completely condensed within the nanoparticles and the degree of interaction was very high. Cytotoxicity and transfection efficiency were found to be comparable to ***polyethylamine*** 50 kDa. The exptl. results suggest that the novel copolymers can be used as novel gene delivery vectors.
 REFERENCE COUNT: 43 THERE ARE 43 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L20 ANSWER 3 OF 5 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2004:267381 CAPLUS <<LOGI NI D: 20090707>>
 DOCUMENT NUMBER: 140: 309343
 TITLE: Oral ***drug*** ***delivery*** systems for poorly soluble drugs using amphiphilic polyethylamine polymers with solubilizing and absorption enhancing properties
 Uchegbu, Ijeoma; Schatzlein, Andreas; Cheng, Wei Ping
 The University of Strathclyde, UK; The University
 Court of the University of Glasgow
 I INVENTOR(S):
 PATENT ASSIGNEE(S):

SOURCE: PCT Int. Appl., 40 pp.

CODEN: PI XXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--|--|--|---|------------|
| WO 2004026941 | A1 | 20040401 | WO 2003-GB4036 | 20030922 |
| W AE, AG, AL, CO, CR, CU, GM, HR, HU, LS, LT, LU, LV, MA, MD, PG, PH, PL, PT, RQ, RU, TR, TT, TZ, RW, GH, GM, KE, KG, KZ, MD, FI, FR, GB, BF, BJ, CF, | AM, AT, AU, AZ, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, KE, KG, KP, KR, KZ, LC, LK, LR, NO, NZ, OM, UA, UG, US, UZ, VG, VN, YU, ZA, ZM, ZW | BA, BG, BR, BY, BZ, CA, CH, CN, GB, GE, GH, ZM, ZW | AM, AZ, BY, DE, DK, EE, ES, SE, SI, SK, TR, SN, TD, TG | 20030922 |
| CA 2499681 | A1 | 20040401 | CA 2003-2499681 | 20030922 |
| AU 2003267581 | A1 | 20040408 | AU 2003-267581 | 20030922 |
| EP 1543063 | A1 | 20050622 | EP 2003-748273 | 20030922 |
| EP 1543063 | B1 | 20090325 | | |
| R: AT, BE, CH, DE, DK, ES, FR, IE, SI, LT, LV, FI, RO, MK | GB, GR, IT, LI, LU, NL, SE, MC, PT, CY, AL, TR, BG, CZ, EE, HU, SK | | | |
| JP 2006500437 | T | 20060105 | JP 2004-537295 | 20030922 |
| AT 426635 | T | 20090415 | AT 2003-748273 | 20030922 |
| US 20060149892 | A1 | 20060706 | US 2005-528602 | 20050929 |
| PRIORITY APPLN. INFO.: | | | GB 2002-21942 | A 20020920 |
| | | | WO 2003-GB4036 | W 20030922 |
| AB This invention relates to the delivery of drugs. In particular, this invention relates to the oral delivery of poorly soluble drugs using novel amphiphilic polymers with both solubilizing and absorption enhancing properties. A polyethyl enimine polymer according to the present invention wherein monomeric subunits in accordance with the structure is defined in formula $\left[\text{N}(\text{CH}_2\text{CH}_2\text{C}_2\text{H}_2) \text{N}(\text{N}(\text{Z})\text{CH}_2\text{CH}_2\text{NH}_2) \text{CH}_2\text{CH}_2 \right] \text{p} \left[\text{N}(\text{Z})\text{CH}_2\text{CH}_2\text{NH}_2 \text{CH}_2\text{C}_2\text{H}_2 \right] \text{q} \left[\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{R}_1) \text{CH}_2\text{CH}_2\text{C}_2\text{H}_2) \text{N}(\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{R}_1) \text{CH}_2\text{CH}_2\text{C}_2\text{H}_2) \text{p} \left[\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{R}_1) \text{CH}_2\text{CH}_2\text{C}_2\text{H}_2 \right] \text{w} \left[\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{A})\text{H}_2\text{C}_2\text{H}_2 \right] \text{x} \left[\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{R}_1) \text{CH}_2\text{CH}_2\text{C}_2\text{H}_2 \right] \text{y} \left[\text{N}(\text{CH}_2\text{CH}_2\text{N}(\text{A}) \text{CH}_2\text{CH}_2\text{C}_2\text{H}_2 \right] \text{z} \right] \text{m}$ wherein $\text{m}=0-90\%$, $\text{n}=0-100\%$, $\text{p}=0-50\%$, $\text{q}=0-50\%$, $\text{u}=0-50\%$, $\text{v}=0-50\%$, $\text{w}=0-20\%$, $\text{x}=0-20\%$, $\text{y}=0-20\%$, $\text{z}=0-20\%$ wherein, $\text{m}+\text{n}+\text{p}+\text{q}+\text{u}+\text{v}+\text{w}+\text{x}+\text{y}+\text{z}=100\%$ $\text{Z}=\text{al kyl, al keny, al kynyl, etc; A=al kyl, al keny, al kynyl, etc; R1=al kyl, al keny, al kynyl, etc; R2=al kyl, al keny, al kynyl, etc; R3=al kyl, al keny, al kynyl, etc.}$ | | | | |
| REFERENCE COUNT: 5 | | | THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT | |

L20 ANSWER 4 OF 5 CAPLUS COPYRIGHT 2009 ACS on STN
 ACCESSION NUMBER: 2003: 423404 CAPLUS <<LOG NUMBER: 20090707>>
 DOCUMENT NUMBER: 139: 154707
 TITLE: Poly cation liposome-mediated gene transfer in vivo
 AUTHOR(S): Matsuura, Mitsu; Yamazaki, Yukako; Sugiyama, Mayu; Kondo, Masami; Ori, Hirotugu; Nango, Mamoru; Oku, Naoto
 CORPORATE SOURCE: Department of Medical Biochemistry and CCE Program in the 21st Century, University of Shizuoka School of Pharmaceutical Sciences, Yada, Shizuoka, Japan
 SOURCE: Biochimica et Biophysica Acta, Biomembranes (2003), 1612(2), 136-143
 CODEN: BBBMBS; ISSN: 0005-2736
 PUBLISHER: Elsevier B. V.
 DOCUMENT TYPE: Journal
 LANGUAGE: English

AB The polycation liposome (PCL), a recently developed gene transfer system is simply prep'd. by a modification of liposomes with cetylated polyethylenimine (PEI), and shows remarkable transgene efficiency with low cytotoxicity. In the present study, we investigated the applicability of PCLs for in vivo gene transfer, since the PCL-mediated transgene efficiency was found to be maintained in the presence of serum. PCLs composed of dioleoyl phosphatidyl ethanolamine (DOPE) with 5 mol % cetyl PEI (PEI av. m. wt. 1800), were superior for transfection to those of dipalmitoyl phosphatidylcholine (DPPC) and cholesterol (2:1 as molar ratio) with 5 mol % cetyl PEI in vitro, although the latter PCLs were more efficient for gene transfer in vivo. PCL-DNA complexes were injected into mice via a tail or the portal vein, with the DNA being a plasmid encoding green fluorescent protein (GFP) or luciferase; and the expression was monitored equal. or quant., resp. Tail vein injection resulted in high expression of both GFP and luciferase genes in lung, and portal vein injection resulted in high expression of both genes in the liver. Concerning the gene delivery efficiency, the PCL was found to be superior to PEI or cetyl PEI alone. The optimal conditions for in vivo transfection with PCLs were also examined.

REFERENCE COUNT: 47 THERE ARE 47 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L20 ANSWER 5 OF 5 CAPLUS COPYRIGHT 2009 ACS on STN
ACCESSION NUMBER: 1997:385627 CAPLUS <<LOG IN ID: 20090707>>

DOCUMENT NUMBER: 127:8941

CURRENT REFERENCE NO.: 127:1801a, 1804a

TITLE: Cosmetic and pharmaceutical emulsions containing cationic polymers

INVENTOR(S): Anstrau, Achim Stoll, Gerhard; Fabry, Bernd

PATENT ASSIGNEE(S): Henkel KgaA, Germany

SOURCE: Ger. Offen., 6 pp.

CODEN: GMKXB

DOCUMENT TYPE: Patent

LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---------------------------------|------|----------|------------------------------------|------------------------|
| DE 19542139 | A1 | 19970515 | DE 1995-19542139 | 19951111 |
| DE 19542139 | C2 | 19980730 | | |
| EP 776657 | A2 | 19970604 | EP 1996-117640 | 19961104 |
| EP 776657 | A3 | 19970730 | | |
| EP 776657 | B1 | 20030326 | | |
| R: DE, ES, FR, IT ES 2193220 | T3 | 20031101 | ES 1996-117640 DE 1995-19542139 | 19961104 A 19951111 |

PRIORITY APPLN. INFO.: MARPAT 127:8941

OTHER SOURCE(S):

AB Cosmetic and pharmaceutical emulsions contg. C16-22-alkyl oligoglucosides 10-50, C16-22 fatty acids 50-90, and cationic polymers 0.1-10 wt. % are highly stable during storage at elevated temps. The cationic polymer may be a cellulose deriv., cationic starch, diallyl ammonium salt/ acrylic amide copolymer, ***quaternized*** vinyl pyrrolidone/vinylidazole copolymer, polyglycol-amide condensate product, ***quaternized*** protein or poly peptide, ***polyethylenimine***, etc. Thus, an emulsion contg. hexadecyl polyglucoside 1.9, hexadecyl alc. 3.0, lauryl dimethyl hydroxypropyl hydrolyzed collagen 0.1, diacryl ether 15, decyl oleate 10, almond oil 5, and water to 100 wt. % had a viscosity (in mPa) of 9,800 immediately after prepn. and 9,800 and 9,500 after storage for 7 days at 20 degree. or 40. degree. resp.

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

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| => Logoff held | | |
| COST IN U. S. DOLLARS | SINCE FILE | TOTAL |
| | ENTRY | SESSSION |
| FULL ESTIMATED COST | 219.81 | 274.49 |
| DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) | SINCE FILE | TOTAL |
| | ENTRY | SESSSION |
| CA SUBSCRIPTION PRICE | -18.04 | -18.04 |

SESSION WILL BE HELD FOR 120 MINUTES
STN INTERNATIONAL SESSION SUSPENDED AT 14:50:55 ON 07 JUL 2009